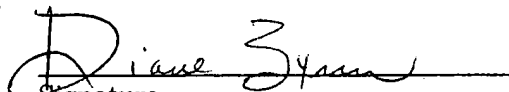


PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on March 28, 2001.*

  
Signature

Applicant : Craig L. Ogg, et al.  
Application No. : 09/692,747  
Filed : October 18, 2000  
Title : MACHINE DEPENDENT LOGIN FOR ON-LINE  
VALUE-BEARING ITEM SYSTEM  
Grp./Div. : 2152  
Examiner : To be assigned  
Docket No. : 39478/RRT/S850

INFORMATION DISCLOSURE STATEMENT  
37 CFR § 1.97(b)

Assistant Commissioner for Patents  
Washington, D.C. 20231


Post Office Box 7068  
Pasadena, CA 91109-7068  
March 28, 2001

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO-1449 listing the references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO-1449 be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,  
CHRISTIE, PARKER & HALE, LLP

By   
Raymond R. Tabandeh  
Reg. No. 43,945  
626/795-9900

RRT/cks

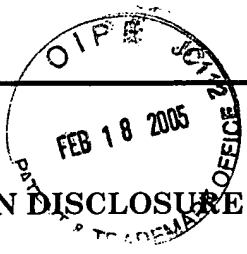
Enclosures: PTO-1449, w/9 references

DSZ PAS337535.1-\* 3/27/01 4:33 PM

FORM FTO-1449

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)



Attorney Docket Number 39478/RRT/S850

Application Number 09/692,747

Filing Date October 18, 2000

Applicant(s) Craig L. Ogg, et al.

Group Art Unit 2152

Examiner Name To be assigned

**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,447,890	05/1984	Duwel et al.	364	900	
	4,743,747	05/1988	Fougere et al.	235	494	
	5,181,245	01/1993	Jones	380	23	
	5,341,505	08/1994	Whitehouse	395	800	
	5,742,683	04/1998	Lee et al.	380	23	
	5,825,893	10/1998	Kara	380	51	
	5,930,796	07/1999	Pierce et al.	707	100	
	5,983,227	11/1999	Nazem et al.	707	10	
	6,064,993	05/2000	Ryan, Jr.	705	403	

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

**OTHER DOCUMENTS**

EXAMINER INITIALS	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

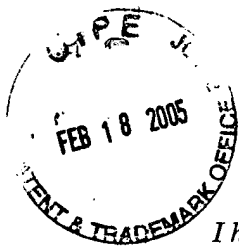
EXAMINER SIGNATURE	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

RRT/cks

CKS PAS337536.1-\*3/27/01 2:16 PM



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on May 2, 2001.*

*Diane Zymund*  
Signature

Applicant : Craig L. Ogg, et al.  
Application No. : 09/692,747  
Filed : October 18, 2000  
Title : MACHINE DEPENDENT LOGIN FOR ON-LINE  
VALUE-BEARING ITEM SYSTEM  
Grp./Div. : 2152  
Examiner : To be assigned  
Docket No. : 39478/SAH/S850

INFORMATION DISCLOSURE STATEMENT AND  
CERTIFICATION UNDER § 1.97(e)(1)

Assistant Commissioner for Patents  
Washington, D.C. 20231

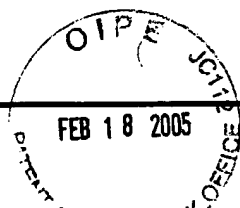
Post Office Box 7068  
Pasadena, CA 91109-7068  
May 2, 2001

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO-1449 with a listing of references that are known to applicant. Copies of each of the listed references are enclosed. Also enclosed is a copy of the Partial International Search Report, PCT/US00/41298, 01/03/2001.

It is respectfully requested that these references be considered in the examination of this application and identified on the list of references cited on the patent issuing on this application. Applicant also requests that an initialed copy of said FORM PTO-1449 be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Applicant's undersigned attorney hereby certifies, in accordance with 37 CFR § 1.97(e)(1), that each item of information contained in the information disclosure statement was first cited



# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

<b>Attorney Docket Number</b>	<b>39478/RRT/S850</b>
<b>Application Number</b>	<b>09/692,747</b>
<b>Filing Date</b>	<b>October 18, 2000</b>
<b>Applicant(s)</b>	<b>Craig L. Ogg, et al.</b>
<b>Group Art Unit</b>	<b>2152</b>
<b>Examiner Name</b>	<b>To be assigned</b>

## **U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,684,951	11/1997	Goldman et al.	395	188.01	
	5,825,893	10/1998	Kara	380	51	

## **FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	2 318 486	04/1998	Great Britain				
	0 854 448	07/1998	European Patent Office				
	0 927 958	07/1999	European Patent Office				
	WO 94/27258	11/1994	WIPO				
	WO 98/51029	11/1998	WIPO				
	WO 98/57302	12/1998	WIPO				

## **OTHER DOCUMENTS**

EXAMINER INITIALS	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	United States Postal Service, "Information-Based Indicia Program (IBIP), Performance Criteria for Information-Based Indicia and Security Architecture for Closed IBI Postage Metering Systems", XP-002138350, Entire Document (49 pgs.), 01/1999

EXAMINER SIGNATURE	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

RRT/mas

DSZ PAS343672.1\*-5/2/01 1:28 PM



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on March 8, 2002.*

  
Clara Magallón

Applicant : Craig L. Ogg, et al.  
Application No. : 09/692,747  
Filed : October 18, 2000  
Title : MACHINE DEPENDENT LOGIN FOR ON-LINE  
VALUE-BEARING ITEM SYSTEM  
Grp./Div. : 2152  
Examiner : To be assigned  
Docket No. : 39478/RRT/S850

INFORMATION DISCLOSURE STATEMENT  
37 CFR § 1.97(b)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Post Office Box 7068  
Pasadena, CA 91109-7068  
March 8, 2002

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicants. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b). To the best of undersigned's knowledge, this Statement is being filed before the mailing date of a first Office Action on the merits.

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicants also request that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicants with the next communication from the Office in accordance with MPEP § 609. Any deficiency of fees for this transaction should be charged or credited to Deposit Account No. 03-1728. Please show our docket number with any Deposit Account transaction.

Respectfully submitted,  
CHRISTIE, PARKER & HALE, LLP

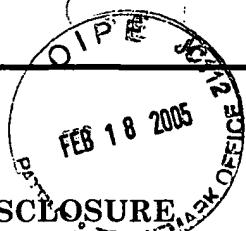
By



Raymond R. Tabandeh  
Reg. No. 43,945  
626/795-9900

RRT/cam  
Enclosures: PTO/SB/08A/B, w/references (6)

FORM PTO/SB/08A/B (10-01)  
Substitute for PTO-1449A/B



# INFORMATION DISCLOSURE

## STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number	39478/RRT/S850
Application Number	09/692,747
Filing Date	October 18, 2000
Applicant(s)	Craig L. Ogg, et al.
Group Art Unit	2152
Examiner Name	To be assigned

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - kind code <sup>2</sup> . (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		US 4,831,555 A	05-16-1989	Sansone et al.
		US 4,900,903 A	02-13-1990	Wright et al.
		US 4,900,904 A	02-13-1990	Wright et al.
		US 4,908,770 A	03-13-1990	Breault et al.
		US 5,111,030 A	05-05-1992	Brasington et al.
		US 5,454,038 A	09-26-1995	Cordery et al.

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> (✓)

## OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

EXAMINER SIGNATURE	DATE CONSIDERED
--------------------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.pto.gov](http://www.pto.gov) or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

RRT/cam

CAM PAS419894.1.\*-3/8/02 9:29 AM



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Craig L. Ogg, et al.  
Application No. : 09/692,747  
Filed : October 18, 2000  
Title : MACHINE DEPENDENT LOGIN FOR ON-LINE VALUE-BEARING ITEM  
SYSTEM  
Grp./Div. : 2153  
Examiner : Bradley E. Edelman  
Docket No. : 39478/RRT/S850

INFORMATION DISCLOSURE STATEMENT  
37 CFR § 1.97(b)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


Post Office Box 7068  
Pasadena, CA 91109-7068  
August 6, 2003

Commissioner:

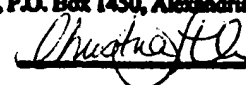
In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

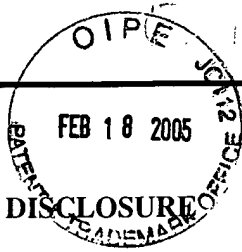
It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,  
CHRISTIE, PARKER & HALE, LLP

By   
Raymond R. Tabandeh  
Reg. No. 43,945  
626/795-9900

RRT/clv  
Enclosures: PTO/SB/08A/B, w/references

I hereby certify that this correspondence is being deposited with the  
U.S. Postal Service as first class mail in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-  
1450 on:  
Aug 6 2003  
(Date of Deposit) 



**INFORMATION DISCLOSURE**  
**STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Attorney Docket Number	39478/RRT/S850
Application Number	09/692,747
Filing Date	October 18, 2000
Applicant(s)	Craig L. Ogg, et al.
Group Art Unit	2153
Examiner Name	Bradley E. Edelman

**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> (✓)
		WO 98/57460	12-17-1998	CORDERY, et al.	

**OTHER DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		U.S. Application Serial No. 09/690,066 filed October 16, 2000, "Cryptographic Module for Secure Processing of Value-Bearing Items", 121pp.
		U.S. Application Serial No. 10/083,236 filed February 26, 2002, "Secured Centralized Public Key Infrastructure", 101pp.

EXAMINER  
SIGNATURE

DATE  
CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE